



Attorney Docket No. 034515-001

AF-1  
Patent  
DFW

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

David F. Nicoli et al.

Application No.: 10/606,959

Filing Date: June 27, 2003

Title: STABILITY ASSESSMENT OF DISPERSIONS AND EMULSIONS

MAIL STOP AF

Group Art Unit: 1743

Examiner: MAUREEN WALLENHORST

Confirmation No.: 4840

**SECOND  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a **SECOND** Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☒ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.
- ☐ Charge \_\_\_\_\_ to credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BUCHANAN INGERSOLL PC

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By

  
Patrick C. Keane

Registration No. 32,858

Date: October 21, 2005

**Buchanan Ingersoll PC**  
ATTORNEYS

Including attorneys from Burns Doane Swecker & Mathis

(8/05)



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David F. Nicoli et al. ) Group Art Unit: 1743  
Application No.: 10/606,959 ) Examiner: MAUREEN WALLENHORST  
Filed: June 27, 2003 ) Confirmation No.: 4840  
For: STABILITY ASSESSMENT OF )  
DISPERSIONS AND EMULSIONS )

## SECOND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

I, the undersigned, hereby state that the information contained in this Information Disclosure Statement was first brought to my knowledge after the final Office Action and within three (3) months prior to the filing of this Information Disclosure Statement.

The documents are being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BUCHANAN INGERSOLL PC

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01 FC:1806 180.00 DA

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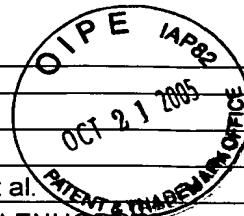
# **SECOND INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/606,959
Filing Date	June 27, 2003
First Named Inventor	David F. Nicoli et al.
Examiner Name	MAUREEN WALLENHORST
Attorney Docket Number	034515-001



## **U.S. PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	STATUS						
					Translation	Partial Translation	Eng. Lang. Summary	Search Report	IPER	Abstract	Cited in Spec

## **NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Parfitt, G.D., ed., "Dispersion of Powders in Liquids", Elsevier, 1969, pp. 88-110.
	Schneider, et al., "Pharmaceutical Suspensions and the DLVO Theory," <i>American Journal of Pharmaceutical Education</i> , 42 (Aug), 1978, pp. 280-289.

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.